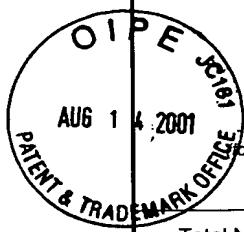


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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/852,709

Filing Date

May 11, 2001

First Named Inventor

Noel Heiks

Group Art Unit

Not Yet Assigned

Examiner Name

Not Yet Assigned

Attorney Docket Number

A1148.0000/P010

## ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below)

IDS Citation

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP William E. Powell, III
Signature	
Date	August 14, 2001

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WOCB 7/11/2002 2:31  
USPTO-SAC  
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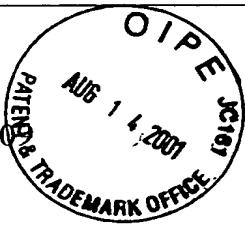
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Noel Heiks, et al.

Application No.: 09/852,700

Filed: May 11, 2001

For: OPTICAL SWITCH ASSEMBLY AND  
METHOD FOR MAKING



Group Art Unit: 2874

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

A concise explanation of relevance of the items listed on form PTO/SB/08 is:

[x] not given

[ ] given for each listed item

[ ] given for only non-English language listed items

[ ] in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references

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While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. A1148.0000/P010. A duplicate copy of this paper is enclosed.

Dated: August 14, 2001

Respectfully submitted,

By W. E. Powell  
William E. Powell, III

Registration No.: 39,803  
DICKSTEIN SHAPIRO MORIN &  
OSHINSKY LLP  
2101 L Street NW  
Washington, DC 20037-1526  
(202) 785-9700  
Attorneys for Applicant

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\*\*\* APPLICATION INFORMATION DISPLAY \*\*\*

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DETAIL

CONTENTS:

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PATNO:  
ISSDT: 00/00/00  
ABNDT: 00/00/00  
APPL: HEIKS  
LOC: 0300 LOC DT: 07/10/01  
CHG-LOC: IE TEAM: 00  
CHGTO-NAME: NO NAME FOUND  
TOT ACT: 00 STATUS: 019 STADT: 05/14/01  
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OPTICAL SWITCH ASSEMBLY AND METHOD FOR MAKING

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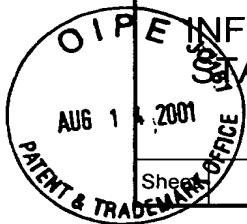
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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
				Application Number	09/852,709
				Filing Date	May 11, 2001
				First Named Inventor	Noel Heiks
				Group Art Unit	2874
				Examiner Name	Not Yet Assigned
Sheet 1 of 1				Attorney Docket Number	A1148.0000/P010

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U. S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
MJS	A	6,160,936		You et al.	12/12/00	
MJS	B	6,118,917		Lee et al.	09/12/00	
MJS	C	5,909,524		Tabuchi	06/01/99	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
				→				

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	<i>Michael J. Heiks</i>	Date Considered	9/25/02
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